

## Spin-orbit interactions in bilayer exciton-condensate ferromagnets

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Bilayer electron-hole systems with unequal electron and hole densities are expected to have exciton-condensate ground states with spontaneous spin polarization in both conduction and valence bands. In the absence of spin-orbit and electron-hole exchange interactions there is no coupling between the spin orientations in the two quantum wells. In this paper we show that Rashba spin-orbit interactions lead to unconventional magnetic anisotropies, whose strength we estimate, and to ordered states with unusual quasiparticle spectra.

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### I. INTRODUCTION

An exciton is an elementary excitation of a semiconductor in which a conduction-band electron and a valence-band hole form a bound state. Like Cooper pairs in superconductors, excitons can condense under appropriate circumstances. The broken symmetry associated with exciton condensation is spontaneous phase coherence between conduction- and valence-band states. Excitonic condensation has been one of the most anticipated forms of fermion-pair condensation since first predicted in the early 1960s.<sup>1-5</sup>

Excitons are traditionally created by optically exciting valence-band electrons to the conduction band. One key obstacle to the creation of an exciton condensate has been the finite lifetime of optically generated excitons, limited in most circumstances by optical recombination processes. It was proposed<sup>6,7</sup> some time ago that the exciton lifetime can be substantially increased by spatially separating electrons and holes in a bilayer configuration. Progress in layered semiconductor growth techniques has now made it feasible to realize bilayer electron-hole systems with great flexibility. Many experimental studies have attempted to detect condensation of optically generated excitons using photoluminescence measurements<sup>8-14</sup> in coupled quantum well structures.

Systems in which electrons and holes are present in equilibrium can be achieved in suitably gated semiconductor bilayer systems<sup>15,16</sup> and provide a simpler and more ideal realization of an electron-hole fluid. A degenerate bilayer electron-hole fluid undergoes excitonic condensation<sup>17</sup> when the distance between the two layers is smaller than the average distance between particles in one layer. The interesting anomalous transport properties<sup>18</sup> associated with exciton-condensate superfluidity can be studied only in equilibrium electron-hole systems. The essential technical development necessary to perform transport measurements that probe exciton condensation is the perfection of techniques, which enable separate electrical contacts to electron or hole layers.<sup>19</sup> Indeed, magnetoexciton condensation, which occurs in strong magnetic fields and involves electrons and holes that can be both in the conduction band, both in the valence band, or in the separate bands, has already been realized and studied using transport.<sup>18,20-22</sup> Equilibrium bilayer electron-hole fluids can be created in weak magnetic fields by electrically generating an external potential difference between nearby

quantum wells approximately equal to the energy gap of the host semiconductor. This paper is motivated by impressive recent progress in this direction.<sup>15,16,23,24</sup> One important feature of electrically generated equilibrium electron-hole fluids is the ability to study the dependence of system properties on the difference between electron and hole densities. Because both conduction and valence bands are spin degenerate and condensation is favored by perfect Fermi surface nesting, it has been predicted that a finite population difference between electrons and holes enriches the physics of excitonic condensates, leading, in particular, to spin-polarized phases.<sup>25,26</sup>

In this paper, we consider a double-quantum-well structure with two GaAs quantum wells separated by an AlGaAs spacer. The larger band gap in AlGaAs compared to GaAs leads to potential profiles in which AlGaAs acts as a barrier for both conduction-band electrons and valence-band holes. An equilibrium electron-hole fluid is created by applying a large electric field across the direction perpendicular to the layers so that the valence-band maximum in one quantum well can move above than the conduction-band minimum in the other. This procedure leads to spatially separated but strongly interacting electron and hole fluids. In addition to making electron-hole systems stable, the external field inevitably enhances structural inversion asymmetry and therefore introduces (Rashba) spin-orbit (SO) interactions<sup>27,28</sup> in both quantum wells.<sup>29-32</sup> Recent theoretical work<sup>33,34</sup> has shown that Rashba SO interactions lead to unconventional anisotropic electron-hole pairing in a bilayer system with equal densities of electrons and holes. The focus of this paper is on the role that the Rashba SO interaction plays in the spin-polarized phase expected to accompany excitonic condensation whenever the electron and hole densities are unequal, which is referred to as the exciton-condensate ferromagnet state.

We model the experimental system used to create the equilibrium electron-hole fluid by assuming that external charges outside of the bilayer system can be controlled to fix the electric fields  $\mathbf{E}_L$ ,  $\mathbf{E}_R$  on the left- and right-hand sides of the bilayer system. Treating  $\mathbf{E}_L$  and  $\mathbf{E}_R$ , rather than the electron and hole densities, as experimentally controllable parameters represents the real experimental condition more accurately. The system we consider is schematically shown in Fig. 1. Two external layers ( $L$  and  $R$ ) with charge density  $\rho_L$  and  $\rho_R$  control the electric fields  $\mathbf{E}_L$  and  $\mathbf{E}_R$ , which determine the electron and hole densities in layers  $A$  and  $B$ . Electrons

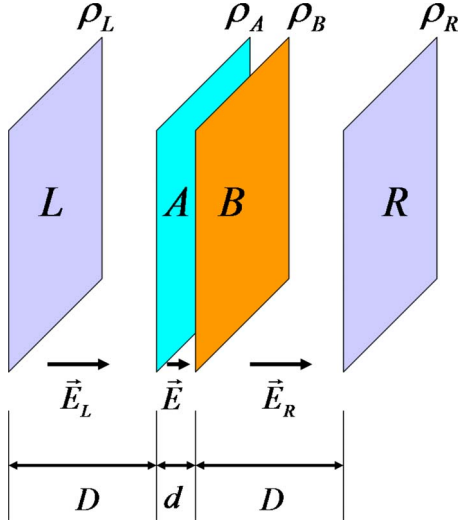


FIG. 1. (Color online) Cartoon depicting the bilayer system including the external charge distribution that gives rise to the external electric field. Layer A is the electron layer and layer B is the hole layer. Layers L and R contain the external charge distribution. The overall charge is neutral so that  $\rho_L + \rho_A + \rho_B + \rho_R = 0$ .  $\rho_L$  and  $\rho_R$  are purely external charge and lead to gate external fields  $\mathbf{E}_L$  and  $\mathbf{E}_R$ .  $\rho_A = -en_e$  is contributed by the conduction-band electrons and  $\rho_B = en_h$  is the charge density contribution from valence-band holes. The electric field outside of the system is zero due to the overall charge neutrality.

will be in layer A and holes will be layer B. We will use conduction-band–valence-band picture rather than electron-hole picture to avoid confusion in calculating the total energy of the system. For layer A (B), we consider only the conduction (valence) band and neglect the valence (conduction) band. The charge density of layer A is given by  $\rho_A = (-e)n_e$ . Layer B also contains background positive charge with density  $n_0$  so that fully occupied valence band has neutral charge. The charge density of layer B is then  $\rho_B = e(n_0 - n_v) \equiv en_h$ . The overall charge neutrality is always preserved, i.e.,  $\rho_L + \rho_A + \rho_B + \rho_R = 0$ . Once we fix the external charge densities  $\rho_L$  and  $\rho_R$ , the density difference between electrons and holes  $\Delta n = n_e - n_h$  is determined by the overall charge neutrality requirement, but  $n_e$  and  $n_h$  are individually determined only by requiring a constant chemical potential through the entire system. When  $\mathbf{E}_L$  and  $\mathbf{E}_R$  are identical,  $\rho_L + \rho_R = 0$  and therefore  $n_e = n_h$ , while if  $\mathbf{E}_L - \mathbf{E}_R \neq 0$ , then  $\rho_L + \rho_R \neq 0$  and  $\Delta n = -(\rho_A + \rho_B)/e = (\rho_L + \rho_R)/e$ . Therefore, by controlling the external charge densities  $\rho_L$  and  $\rho_R$  or equivalently the external electric fields  $\mathbf{E}_L$  and  $\mathbf{E}_R$ , it is possible to induce density-polarized ( $n_e \neq n_h$ ) electron-hole bilayer systems. The ability to tune the difference between electron and hole populations is therefore a natural feature of electrically generated equilibrium electron-hole systems. This population polarization leads to interesting physics that is our main interest.

This paper is organized as follows. In Sec. II, we briefly review the mean-field theory of an exciton condensate for the case of spinless electrons and holes. In Sec. III, we restore the spin degree of freedom and study the spin-polarized states that occur when the electron and hole densities are unequal. We find that as the electron-hole population differ-

ence increases, the ground state progresses from one with pairing in two spin channels to a state with pairing in only one spin channel and, finally, to a state with no pairing. States with finite population polarization generally have spin-dependent pairing. When spin-orbit interactions and electron-hole exchange interactions are neglected the ground state is invariant under arbitrary spin rotations in conduction and valence bands. Each quantum well is spin polarized but there is no coupling that favors any particular relative spin orientation. In Sec. IV we turn to a discussion of the role played by the Rashba spin-orbit interaction in the exciton-condensate ferromagnet. We find that spin-orbit interactions can favor spin alignment in a particular direction depending on the electron and hole densities and the strength of the SO interaction. In Sec. V we briefly summarize our findings and discuss some experimental implications of our work.

## II. SPINLESS EXCITON CONDENSATION

In this section we establish our notation and introduce the basic equations that appear in the mean-field theory of electron-hole condensates by studying a system with spinless conduction and valence bands localized in spatially separated quantum wells. One of our main objectives here is to explain how we deal with the potentially confusing way in which simple electrostatic effects are combined with pairing in systems with electron and hole densities that are spatially separated and controlled externally. As it turns out, the spin degree of freedom plays a minor role for unpolarized ( $n_e = n_h$ ) systems, so the results in this section are applicable with minor revisions to electron-hole bilayers with balanced populations.

We treat the charges in layer L and layer R as well as the background positive charge in layer B as external charges. The total Hamiltonian of a spinless bilayer system that has one conduction band and one valence band is

$$\hat{H} = E_{ES}^{\text{ext}} + \sum_{\mathbf{a}\mathbf{k}} (\varepsilon_{\mathbf{a}\mathbf{k}}^{(0)} + V_a^{\text{ext}}) c_{\mathbf{a}\mathbf{k}}^\dagger c_{\mathbf{a}\mathbf{k}} + \frac{1}{2\Omega} \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} V^{aa'}(\mathbf{q}) c_{\mathbf{a}\mathbf{k}}^\dagger c_{\mathbf{a}'\mathbf{k}'+\mathbf{q}}^\dagger c_{\mathbf{a}'\mathbf{k}'-\mathbf{q}} c_{\mathbf{a}\mathbf{k}}, \quad (1)$$

where the band index  $a=c$  for the conduction band  $c$  in layer A and  $a=v$  for the valence band in layer B. In Eq. (1)  $E_{ES}^{\text{ext}}$  is the electrostatic energy that comes from the Coulomb interaction between the external charges,  $V_a^{\text{ext}}$  is the electrostatic potential in band  $a$  due to external charges,  $\mathbf{k}$  is the two-dimensional (2D) wave vector,  $\Omega$  is area of each layer, and  $V^{aa'}(\mathbf{q})$  is the Fourier transform of the Coulomb interaction between electrons in band  $a$  and band  $a'$  given by

$$V^{cc}(\mathbf{q}) = V^{vv}(\mathbf{q}) = \frac{2\pi e^2}{\epsilon q}, \quad (2)$$

$$V^{cv}(\mathbf{q}) = V^{vc}(\mathbf{q}) = \frac{2\pi e^2}{\epsilon q} e^{-qd}, \quad (3)$$

where  $\epsilon$  is the dielectric constant. In bilayer systems, unlike single-layer systems, the Hartree electrostatic energy must be

included explicitly in the mean-field theory since individual layers are not necessarily charge neutral. Only the overall charge neutrality is preserved. The bare band energies  $\varepsilon_{\mathbf{a}\mathbf{k}}^{(0)}$ s are assumed to be parabolic for both conduction and valence bands,

$$\varepsilon_{c\mathbf{k}}^{(0)} = \frac{\hbar k^2}{2m_c}, \quad \varepsilon_{v\mathbf{k}}^{(0)} = -\frac{\hbar k^2}{2m_v} - E_g, \quad (4)$$

with the fundamental band gap  $E_g$ .  $V_a^{\text{ext}}$  does not depend on  $\mathbf{k}$  and only depends on the  $z$  coordinate of the layers. We neglect the small overlap between electron and hole wave functions so that the interaction  $V$  conserves band indices and so that therefore there is no electron-hole exchange interactions. Allowing for spontaneous interband coherence, the mean-field Hartree-Fock Hamiltonian is given by

$$\hat{H}_{MF} = E_0 + \sum_{\mathbf{a}\mathbf{k}} (\varepsilon_{\mathbf{a}\mathbf{k}}^{(0)} + \varepsilon_{\mathbf{a}\mathbf{k}}^{\text{ex}} + V_a^{\text{ES}}) c_{\mathbf{a}\mathbf{k}}^\dagger c_{\mathbf{a}\mathbf{k}} - \sum_{\mathbf{k}} (\Delta_{\mathbf{k}} c_{c\mathbf{k}}^\dagger c_{v\mathbf{k}} + \text{H.c.}), \quad (5)$$

where

$$E_0 = E_{ES} - \sum_{\mathbf{a}\mathbf{k}} V_a^{\text{ES}} \rho^{aa}(\mathbf{k}) - \frac{1}{2} \sum_{\mathbf{a}\mathbf{k}} \varepsilon_{\mathbf{a}\mathbf{k}}^{\text{ex}} \rho^{aa}(\mathbf{k}) + \frac{1}{2} \sum_{\mathbf{k}} [\Delta_{\mathbf{k}} \rho^{vc}(\mathbf{k}) + \text{c.c.}], \quad (6)$$

$$\varepsilon_{\mathbf{a}\mathbf{k}}^{\text{ex}} = -\frac{1}{\Omega} \sum_{\mathbf{k}'} V^{aa}(\mathbf{k} - \mathbf{k}') \rho^{aa}(\mathbf{k}'), \quad (7)$$

$$\Delta_{\mathbf{k}} = \frac{1}{\Omega} \sum_{\mathbf{k}'} V^{cv}(\mathbf{k} - \mathbf{k}') \rho^{cv}(\mathbf{k}'), \quad (8)$$

$$\rho^{aa'}(\mathbf{k}) = \langle c_{\mathbf{a}'\mathbf{k}}^\dagger c_{\mathbf{a}\mathbf{k}} \rangle_\Psi = \langle \Psi | c_{\mathbf{a}'\mathbf{k}}^\dagger c_{\mathbf{a}\mathbf{k}} | \Psi \rangle. \quad (9)$$

Note that the Hamiltonian itself depends on the state  $\Psi$  and must be solved for self-consistently. Here  $\varepsilon_{\mathbf{a}\mathbf{k}}^{\text{ex}}$  is the intraband exchange field,  $\Delta_{\mathbf{k}}$  is the interband exchange field induced by interband coherence, and  $\rho^{aa'}(\mathbf{k})$  is the density matrix. These potentials, which must be also determined self-consistently, are discussed at greater length below.  $E_{ES}$  is the electrostatic energy and  $V_a^{\text{ES}}$  is the total electrostatic potential at layer  $a$ , due to the whole charge distributions in the system that includes the Hartree potential from the electrons and holes. The last two terms in  $E_0$  account for the double counting of the intralayer and interlayer exchange interactions in the mean-field Hamiltonian and must be included when we calculate the total energy.

The intraband exchange [Eq. (7)] potential for the valence band appears to diverge because  $\rho^{vv}(\mathbf{k}') \rightarrow 1$  deep in the valence band. This problem is solved by noticing that the experimentally measured valence-band effective mass  $m_v^*$  already includes all interaction effects present in the state with a full valence band and an empty conduction band, a reference state we will define as  $|\Phi_0\rangle$ .  $|\Phi_0\rangle$  is the solution of the problem with  $\rho_L = \rho_R = 0$ . Thus

$$-\frac{\hbar k^2}{2m_v^*} = \varepsilon_{v\mathbf{k}}^{(0)} + \varepsilon_{v\mathbf{k}}^{\text{ex}}[\Phi_0] = -\frac{\hbar k^2}{2m_v} - \frac{1}{\Omega} \sum_{\mathbf{k}'} V^{vv}(\mathbf{k} - \mathbf{k}') \rho_0^{vv}(\mathbf{k}') \quad (10)$$

$$= -\frac{\hbar k^2}{2m_v} - \frac{1}{\Omega} \sum_{\mathbf{k}'} V^{vv}(\mathbf{k} - \mathbf{k}'), \quad (11)$$

where  $\rho_0$  is the density matrix when the system is in state  $|\Phi_0\rangle$ ,

$$\rho_0^{aa'}(\mathbf{k}) = \begin{cases} 1 & \text{for } a = v, \ a' = v \\ 0 & \text{otherwise.} \end{cases} \quad (12)$$

It follows that

$$\varepsilon_{v\mathbf{k}}^{(0)} + \varepsilon_{v\mathbf{k}}^{\text{ex}} = -\frac{\hbar k^2}{2m_v^*} - E_g - \frac{1}{\Omega} \sum_{\mathbf{k}'} V^{vv}(\mathbf{k} - \mathbf{k}') \times [\rho^{vv}(\mathbf{k}') - \rho_0^{vv}(\mathbf{k}')], \quad (13)$$

which remains finite. The total energy of the state  $|\Psi\rangle$  is measured with respect to the energy  $E_{\text{tot}}^{(0)}$  of the state  $|\Phi_0\rangle$ .

Our calculation requires a self-consistent evaluation of the difference between the density matrix and the density matrix in the absence of carriers,

$$\tilde{\rho}^{aa'}(\mathbf{k}) \equiv \rho^{aa'}(\mathbf{k}) - \rho_0^{aa'}(\mathbf{k}). \quad (14)$$

With this definition Eq. (5) becomes

$$\hat{H}_{MF} - E_{\text{tot}}^{(0)} = \tilde{E}_0 + \sum_{\mathbf{a}\mathbf{k}} \varepsilon_{\mathbf{a}\mathbf{k}} [c_{\mathbf{a}\mathbf{k}}^\dagger c_{\mathbf{a}\mathbf{k}} - \rho_0^{aa}(\mathbf{k})] - \sum_{\mathbf{k}} (\Delta_{\mathbf{k}} c_{c\mathbf{k}}^\dagger c_{v\mathbf{k}} + \text{H.c.}), \quad (15)$$

where

$$\tilde{E}_0 = E_{ES} - E_{ES}^{(0)} - \sum_{\mathbf{a}\mathbf{k}} V_a^{\text{ES}} \tilde{\rho}^{aa}(\mathbf{k}) - \frac{1}{2} \sum_{\mathbf{a}\mathbf{k}} \tilde{\varepsilon}_{\mathbf{a}\mathbf{k}}^{\text{ex}} \tilde{\rho}^{aa}(\mathbf{k}) + \frac{1}{2} \sum_{\mathbf{k}} [\Delta_{\mathbf{k}} \tilde{\rho}^{vc}(\mathbf{k}) + \text{c.c.}], \quad (16)$$

$$\varepsilon_{\mathbf{a}\mathbf{k}} = \tilde{\varepsilon}_{\mathbf{a}\mathbf{k}}^{(0)} + \tilde{\varepsilon}_{\mathbf{a}\mathbf{k}}^{\text{ex}} + V_a^{\text{ES}}, \quad (17)$$

$$\tilde{\varepsilon}_{c\mathbf{k}}^{(0)} = \frac{\hbar k^2}{2m_c}, \quad \tilde{\varepsilon}_{v\mathbf{k}}^{(0)} = -\frac{\hbar k^2}{2m_v^*} - E_g, \quad (18)$$

$$\tilde{\varepsilon}_{\mathbf{a}\mathbf{k}}^{\text{ex}} = -\frac{1}{\Omega} \sum_{\mathbf{k}'} V^{aa}(\mathbf{k} - \mathbf{k}') \tilde{\rho}^{aa}(\mathbf{k}'). \quad (19)$$

The electrostatic energy  $E_{ES}^{(0)}$  of  $|\Phi_0\rangle$  is zero since there is no net charge in any of the four layers and the total energy  $E_{\text{tot}}$  of  $|\Psi\rangle$  is given by

$$E_{\text{tot}} - E_{\text{tot}}^{(0)} = E_{ES} + \sum_{\mathbf{ak}} \left[ \tilde{\varepsilon}_{\mathbf{ak}}^{(0)} + \frac{1}{2} \tilde{\varepsilon}_{\mathbf{ak}}^{\text{ex}} \right] \tilde{\rho}^{aa}(\mathbf{k}) - \frac{1}{2} \sum_{\mathbf{ak}} [\Delta_{\mathbf{k}} \tilde{\rho}^{vc}(\mathbf{k}) + \text{c.c.}]. \quad (20)$$

The mean-field Hamiltonian [Eq. (15)] is, in matrix form,

$$\hat{H}_{MF} - E_{\text{tot}}^{(0)} = \tilde{E}_0 - \sum_{\mathbf{ak}} \varepsilon_{\mathbf{ak}} \rho_0^{aa}(\mathbf{k}) + \sum_{\mathbf{k}} \begin{pmatrix} c_{\mathbf{ck}}^\dagger & c_{\mathbf{vk}}^\dagger \\ \varepsilon_{\mathbf{ck}} & -\Delta_{\mathbf{k}} \\ -\Delta_{\mathbf{k}}^* & \varepsilon_{\mathbf{vk}} \end{pmatrix} \begin{pmatrix} c_{\mathbf{ck}} \\ c_{\mathbf{vk}} \end{pmatrix}. \quad (21)$$

For fixed  $\rho_L$  and  $\rho_R$ ,  $\Delta n = n_e - n_h = n_L + n_R$  is fixed and we can obtain the electrostatic potential  $V_a^{\text{ES}}$  and the electrostatic energy  $E_{ES}$  by solving a classical electrostatic problem of four charged layers. The electrostatic field  $V_a^{\text{ES}}$  is given by

$$V_c^{\text{ES}} = \frac{2\pi e^2 n_e d}{\epsilon} + C, \quad (22)$$

$$V_v^{\text{ES}} = -\frac{2\pi e^2 n_h d}{\epsilon} + \varepsilon_{v0} + C, \quad (23)$$

where

$$\varepsilon_{v0} \equiv \frac{2\pi e}{\epsilon} (\rho_L - \rho_R) d \quad (24)$$

and  $C$  is a constant reference single-particle energy that we set to zero. The electrostatic energy per area is given up to a constant that we disregard by

$$\frac{E_{ES}}{\Omega} = \frac{2\pi e^2 d}{\epsilon} \left[ \frac{1}{2} (n_e^2 + n_h^2) - n_h \left( \frac{\rho_L}{e} - \frac{\rho_R}{e} \right) \right]. \quad (25)$$

Now we derive the gap equation for the bilayer system with equal densities of electrons and holes. The system is completely defined by  $n_L$  and  $n_R$  or alternatively by  $\Delta n$  and  $\mu_0 \equiv \varepsilon_{v0} - E_g$ . We use the latter pair as our parameters for the following calculations. We can diagonalize the Hamiltonian  $\hat{H}_{MF}$  by introducing new Fermi quasiparticle operators using a Bogoliubov transformation,

$$\hat{H}_{MF} = \sum_{\mathbf{k}} \begin{pmatrix} \alpha_{\mathbf{k}}^\dagger & \beta_{\mathbf{k}}^\dagger \\ \alpha_{\mathbf{k}} & \beta_{\mathbf{k}} \end{pmatrix} \begin{pmatrix} \varepsilon_{\mathbf{k}}^{(1)} & 0 \\ 0 & \varepsilon_{\mathbf{k}}^{(2)} \end{pmatrix} \begin{pmatrix} \alpha_{\mathbf{k}} \\ \beta_{\mathbf{k}} \end{pmatrix} + \text{const}, \quad (26)$$

where

$$\begin{pmatrix} \alpha_{\mathbf{k}} \\ \beta_{\mathbf{k}} \end{pmatrix} = \begin{pmatrix} u_{\mathbf{k}} & -v_{\mathbf{k}} \\ v_{\mathbf{k}}^* & u_{\mathbf{k}}^* \end{pmatrix} \begin{pmatrix} c_{\mathbf{ck}} \\ c_{\mathbf{vk}} \end{pmatrix}, \quad (27)$$

$$\varepsilon_{\mathbf{k}}^{(1,2)} = \frac{1}{2} (\varepsilon_{\mathbf{ck}} + \varepsilon_{\mathbf{vk}}) \pm \sqrt{\varepsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}, \quad (28)$$

$$|u_{\mathbf{k}}|^2 = \frac{1}{2} \left( 1 + \frac{\varepsilon_{\mathbf{k}}}{\sqrt{\varepsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}} \right), \quad (29)$$

$$|v_{\mathbf{k}}|^2 = \frac{1}{2} \left( 1 - \frac{\varepsilon_{\mathbf{k}}}{\sqrt{\varepsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}} \right), \quad (30)$$

$$u_{\mathbf{k}}^* v_{\mathbf{k}} = \frac{\Delta_{\mathbf{k}}}{2\sqrt{\varepsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}}, \quad (31)$$

$$\varepsilon_{\mathbf{k}} = \frac{1}{2} (\varepsilon_{\mathbf{ck}} - \varepsilon_{\mathbf{vk}}), \quad (32)$$

and the ground state is given by

$$|\Psi\rangle = \prod_{\mathbf{k}} \beta_{\mathbf{k}}^\dagger |0\rangle = \prod_{\mathbf{k}} (v_{\mathbf{k}} c_{\mathbf{ck}}^\dagger + u_{\mathbf{k}} c_{\mathbf{vk}}^\dagger) |0\rangle, \quad (33)$$

where  $|0\rangle$  is the vacuum state in which both the conduction band and the valence band are empty. This canonical transformation is analogous to the canonical transformation of BCS theory if we perform an electron-hole transformation on the valence-band electrons. In the conduction-band–valence-band picture, these fermion operators correspond to the superposition of conduction- and valence-band states that is induced by spontaneous phase coherence and diagonalizes the self-consistent mean-field Hamiltonian. In this language our mean-field calculation is just standard Hartree-Fock theory.

We have described the procedure we follow in some detail because special care is required in how the electrostatic energy is treated in order to extract the finite energy of an electrically neutral system correctly. We will consistently use the conduction-band–valence-band picture of electrons rather than the electron-hole picture throughout all the calculations for bilayer systems because it is easier to avoid confusion in how the canceling Coulombic divergences are handled. The self-consistent gap equation at zero temperature is obtained from the definition of the interband exchange field,

$$\begin{aligned} \Delta_{\mathbf{k}} &= \frac{1}{\Omega} \sum_{\mathbf{k}'} V^{cv}(\mathbf{k} - \mathbf{k}') \langle c_{\mathbf{vk}'}^\dagger, c_{\mathbf{ck}'} \rangle = \frac{1}{\Omega} \sum_{\mathbf{k}'} V^{cv}(\mathbf{k} - \mathbf{k}') u_{\mathbf{k}'}^* v_{\mathbf{k}'} \\ &= \frac{1}{\Omega} \sum_{\mathbf{k}'} V^{cv}(\mathbf{k} - \mathbf{k}') \frac{\Delta_{\mathbf{k}'}}{2\sqrt{\varepsilon_{\mathbf{k}'}^2 + |\Delta_{\mathbf{k}'}|^2}}. \end{aligned} \quad (34)$$

We solved the gap equation above self-consistently for a system with an equal density of electrons and holes, effective masses  $m_c = 0.067m_0$ ,  $m_v = 0.11m_0$ , interlayer distance  $d = 100 \text{ \AA}$ , and dielectric constant  $\epsilon = 13$ . We choose the density difference  $\Delta n = n_e - n_h$  and the initial band overlap  $\mu_0 = \varepsilon_{v0} - E_g$  as two controllable parameters and set  $\Delta n = 0$  in this section. There is close analogy to the BCS theory of a superconductor and we obtain a similar energy spectrum with a gap [Fig. 2]. The gap function  $\Delta_{\mathbf{k}}$  has a maximum around the Fermi wave vector and decreases as density increases [Fig. 3]. At very high densities, the ground state converges toward the electron-hole plasma state and the gap approaches zero. These results agree with the previous work by Zhu *et al.*<sup>35</sup> Even though the attractive interaction is now a Coulomb interaction rather than the BCS-like short range interaction, the gap function shows similar behavior, which implies that the pairing is most significant for electrons and holes near the Fermi surface.

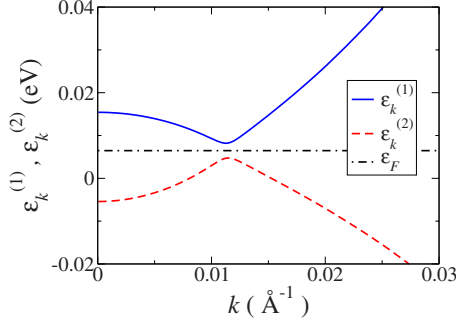


FIG. 2. (Color online) Excitation energy  $\varepsilon_k^{(1,2)}$  of a two-band excitonic condensate.  $\mu_0=10$  meV and  $n_e-n_h=0$ . The calculated densities are  $n_e=n_h=1.0 \times 10^{11}$  cm $^{-2}$ .  $\varepsilon_F$  is the Fermi energy.

### III. EXCITON CONDENSATE FERROMAGNETS

We now restore the spin degree of freedom in the bilayer systems to study its effect on excitonic condensation. In population polarized systems this additional degree of freedom plays an important role by allowing spontaneous spin polarization to compensate for imperfect nesting of the paramagnetic state Fermi surfaces. Recently, the experimental observation of weak ferromagnetism in lightly doped divalent hexaborides<sup>36</sup> gave rise to new interest in exciton-condensate ferromagnetism as a possible explanation for the experimental finding.<sup>37-39</sup> Ferromagnetism in exciton condensates is driven by a preference for Fermi surface nesting of at least one spin component. The spin-polarized state has some unique symmetry properties related to the approximate spin-rotational invariance of the microscopic Hamiltonian. When all operators in the theory of Sec. II are assigned an additional spin index  $\sigma$ , the total Hamiltonian is

$$\hat{H} = E_{ES}^{\text{ext}} + \sum_{a\sigma\mathbf{k}} (\varepsilon_{a\mathbf{k}}^{(0)} + V_a^{\text{ext}}) c_{a\sigma\mathbf{k}}^\dagger c_{a\sigma\mathbf{k}} + \frac{1}{2\Omega} \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} V(\mathbf{q}) c_{a\sigma\mathbf{k}}^\dagger c_{a'\sigma'\mathbf{k}'}^\dagger c_{a'\sigma'\mathbf{k}'+\mathbf{q}} c_{a\sigma\mathbf{k}-\mathbf{q}}. \quad (35)$$

As in Sec. II, we define  $|\Phi_0\rangle$  as the full-valence-band empty-conduction-band reference state. Electron and hole states are then specified by the density matrix

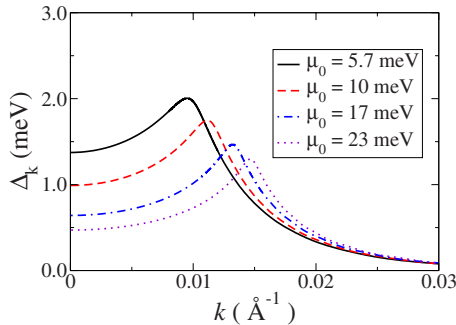


FIG. 3. (Color online)  $\Delta_{\mathbf{k}}$  in the two-band model for various  $\mu_0$  values. The calculated densities are  $n=0.8 \times 10^{11}$ ,  $1.0 \times 10^{11}$ ,  $1.4 \times 10^{11}$ , and  $1.7 \times 10^{11}$  cm $^{-2}$  for  $\mu_0=5.7-23$  meV, respectively.

$$\tilde{\rho}_{\sigma\sigma'}^{aa'}(\mathbf{k}) = \langle \Psi | c_{a'\sigma'\mathbf{k}}^\dagger c_{a\sigma\mathbf{k}} | \Psi \rangle - \rho_{0,\sigma\sigma'}^{aa'}(\mathbf{k}) = \langle \Psi | c_{a'\sigma'\mathbf{k}}^\dagger c_{a\sigma\mathbf{k}} | \Psi \rangle - \langle \Phi_0 | c_{a'\sigma'\mathbf{k}}^\dagger c_{a\sigma\mathbf{k}} | \Phi_0 \rangle, \quad (36)$$

and the mean-field Hamiltonian is

$$\hat{H}_{MF} - E_{\text{tot}}^{(0)} = \tilde{E}_0 + \sum_{a\sigma\mathbf{k}} [\tilde{\varepsilon}_{a\mathbf{k}}^{(0)} + \tilde{h}_{a\mathbf{k}}^{(0)} + V_a^{\text{ES}}] [c_{a\sigma\mathbf{k}}^\dagger c_{a\sigma\mathbf{k}} - \rho_{0,\sigma\sigma}^{aa}(\mathbf{k})] + \sum_{a\sigma\sigma'\mathbf{k}} (\tilde{\mathbf{h}}_{a\mathbf{k}} \cdot \boldsymbol{\tau}_{\sigma\sigma'}) [c_{a\sigma\mathbf{k}}^\dagger c_{a\sigma'\mathbf{k}} - \rho_{0,\sigma'\sigma}^{aa}(\mathbf{k})] - \sum_{\mathbf{k}} (\Delta_{\mathbf{k}}^{\sigma\sigma'} c_{c\sigma\mathbf{k}}^\dagger c_{v\sigma'\mathbf{k}} + \text{H.c.}), \quad (37)$$

where the intraband exchange field has been separated into spin-independent and spin-dependent parts:

$$\tilde{h}_{a\mathbf{k}}^{(0)} = -\frac{1}{\Omega} \sum_{\sigma\mathbf{k}'} V^{aa}(\mathbf{k}-\mathbf{k}') \tilde{\rho}_{\sigma\sigma}^{aa}(\mathbf{k}'), \quad (38)$$

$$\tilde{\mathbf{h}}_{a\mathbf{k}} = -\frac{1}{2\Omega} \sum_{\sigma\sigma'\mathbf{k}'} V^{aa}(\mathbf{k}-\mathbf{k}') \tilde{\rho}_{\sigma\sigma'}^{aa}(\mathbf{k}') \boldsymbol{\tau}_{\sigma'\sigma}, \quad (39)$$

where  $\boldsymbol{\tau}$  is a vector whose components are Pauli spin matrices. We allow electron-hole pairing (conduction-valence coherence) between any spin states,

$$\Delta_{\mathbf{k}}^{\sigma\sigma'} = \frac{1}{\Omega} \sum_{\mathbf{k}'} V^{cv}(\mathbf{k}-\mathbf{k}') \tilde{\rho}_{\sigma\sigma'}^{cv}(\mathbf{k}'). \quad (40)$$

The band energies and the electrostatic fields are then given by the same expressions as in the spinless case,

$$\tilde{\varepsilon}_{c\mathbf{k}}^{(0)} = \frac{\hbar k^2}{2m_c}, \quad (41)$$

$$\tilde{\varepsilon}_{v\mathbf{k}}^{(0)} = -\frac{\hbar k^2}{2m_v^*} - E_g, \quad (42)$$

$$V_c^{\text{ES}} = \frac{2\pi e^2 n_e d}{\epsilon}, \quad (43)$$

$$V_v^{\text{ES}} = -\frac{2\pi e^2 n_h d}{\epsilon} + \varepsilon_{v0}, \quad (44)$$

and the constant term is

$$\tilde{E}_0 = E_{ES} - \sum_{a\sigma\mathbf{k}} V_a^{\text{ES}} \tilde{\rho}_{\sigma\sigma}^{aa}(\mathbf{k}) - \frac{1}{2} \sum_{a\sigma\mathbf{k}} \tilde{h}_{a\mathbf{k}}^{(0)} \tilde{\rho}_{\sigma\sigma}^{aa}(\mathbf{k}) - \frac{1}{2} \sum_{a\sigma\sigma'\mathbf{k}} (\tilde{\mathbf{h}}_{a\mathbf{k}} \cdot \boldsymbol{\tau}_{\sigma\sigma'}) \tilde{\rho}_{\sigma'\sigma}^{aa}(\mathbf{k}) + \frac{1}{2} \sum_{\sigma\sigma'\mathbf{k}} [\Delta_{\mathbf{k}}^{\sigma\sigma'} \tilde{\rho}_{\sigma'\sigma}^{vc}(\mathbf{k}) + \text{c.c.}]. \quad (45)$$

The mean-field Hamiltonian in matrix form is

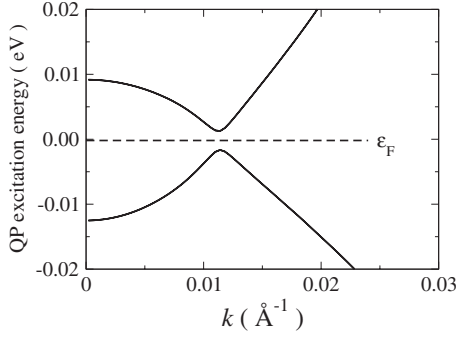


FIG. 4. Quasiparticle excitation energy for a four-band excitonic condensate state with  $\mu_0=25$  meV and  $\Delta n=0$ . The calculated densities are  $n_e=n_h=2.04 \times 10^{11}$  cm $^{-2}$ .

$$\hat{H}_{MF} = (c_{c\uparrow\mathbf{k}}^\dagger \quad c_{c\downarrow\mathbf{k}}^\dagger \quad c_{v\uparrow\mathbf{k}}^\dagger \quad c_{v\downarrow\mathbf{k}}^\dagger) \mathbf{H} \begin{pmatrix} c_{c\uparrow\mathbf{k}} \\ c_{c\downarrow\mathbf{k}} \\ c_{v\uparrow\mathbf{k}} \\ c_{v\downarrow\mathbf{k}} \end{pmatrix} + \text{const}, \quad (46)$$

where the Hamiltonian matrix has the general form

$$\mathbf{H} = \begin{pmatrix} \varepsilon_{c\mathbf{k}} + \tilde{h}_{c\mathbf{k}}^z & \tilde{h}_{c\mathbf{k}}^x - i\tilde{h}_{c\mathbf{k}}^y & -\Delta_{\mathbf{k}}^{\uparrow\uparrow} & -\Delta_{\mathbf{k}}^{\uparrow\downarrow} \\ \tilde{h}_{c\mathbf{k}}^x + i\tilde{h}_{c\mathbf{k}}^y & \varepsilon_{c\mathbf{k}} - \tilde{h}_{c\mathbf{k}}^z & -\Delta_{\mathbf{k}}^{\downarrow\uparrow} & -\Delta_{\mathbf{k}}^{\downarrow\downarrow} \\ -\Delta_{\mathbf{k}}^{*\uparrow\uparrow} & -\Delta_{\mathbf{k}}^{*\downarrow\uparrow} & \varepsilon_{v\mathbf{k}} + \tilde{h}_{v\mathbf{k}}^z & \tilde{h}_{v\mathbf{k}}^x - i\tilde{h}_{v\mathbf{k}}^y \\ -\Delta_{\mathbf{k}}^{*\uparrow\downarrow} & -\Delta_{\mathbf{k}}^{*\downarrow\downarrow} & \tilde{h}_{v\mathbf{k}}^x + i\tilde{h}_{v\mathbf{k}}^y & \varepsilon_{v\mathbf{k}} - \tilde{h}_{v\mathbf{k}}^z \end{pmatrix}, \quad (47)$$

with

$$\varepsilon_{a\mathbf{k}} = \tilde{\varepsilon}_{a\mathbf{k}}^{(0)} + V_a^{\text{ES}} + \tilde{h}_{a\mathbf{k}}^{(0)}. \quad (48)$$

The total energy of  $\Psi$  is given by

$$\begin{aligned} E_{\text{tot}} - E_{\text{tot}}^{(0)} &= E_{ES} + \sum_{a\sigma\mathbf{k}} \left[ \tilde{\varepsilon}_{a\mathbf{k}}^{(0)} + \frac{1}{2} \tilde{h}_{a\mathbf{k}}^{(0)} \right] \tilde{\rho}_{\sigma\sigma}^{aa}(\mathbf{k}) \\ &+ \frac{1}{2} \sum_{a\sigma\sigma'\mathbf{k}} (\tilde{h}_{a\mathbf{k}} \cdot \boldsymbol{\tau}_{\sigma\sigma'}) \tilde{\rho}_{\sigma'\sigma}^{aa}(\mathbf{k}) \\ &- \frac{1}{2} \sum_{\sigma\sigma'\mathbf{k}} [\Delta_{\mathbf{k}}^{\sigma\sigma'} \tilde{\rho}_{\sigma'\sigma}^{vc}(\mathbf{k}) + \text{c.c.}]. \end{aligned} \quad (49)$$

Unlike the spinless case, the diagonalization of this  $4 \times 4$  matrix is not in general trivial. In our calculations we diagonalize it numerically and use the resulting eigenvectors to solve the generalized Hartree-Fock equation of the system self-consistently, allowing for both spontaneous coherence and spontaneous spin splitting in both conduction and valence bands. As in Sec. II, we use  $\mu_0 = \varepsilon_{v0} - E_g$  and  $\Delta n = n_e - n_h$  as two parameters for the numerical calculations. When  $n_e = n_h$ , the self-consistent calculation converges to the results of Sec. II except each quasiparticle state has an additional twofold spin degeneracy. (The effective magnetic field due to the intraband exchange term  $\tilde{h}_{a\mathbf{k}}$  vanishes in this case.) Figure 4 shows the eigenvalues of the Hartree-Fock matrix, which are the quasiparticle excitation energies. Each quasi-

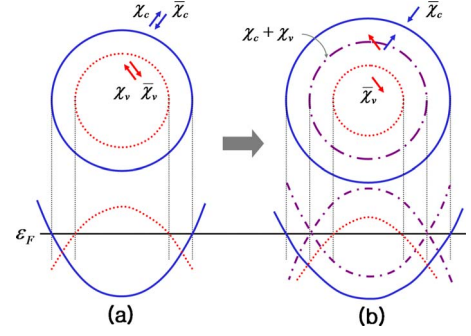


FIG. 5. (Color online) Schematic diagram of the Fermi surfaces and the energy bands for spontaneous spin splitting in ferromagnetic exciton condensates. (a) For different number of electrons and holes, the conduction-band Fermi surface (solid blue circle) does not coincide with the valence-band Fermi surface (dotted red circle). (b) Conduction-band electrons with spin  $\chi_c$  flip to spin state  $\bar{\chi}_c$  so the Fermi surface of  $\chi_c$  electrons shrinks and the Fermi surface of  $\bar{\chi}_c$  electrons expands. Similarly,  $\chi_v$  valence-band electrons flip to  $\bar{\chi}_v$  state so that the Fermi surface of the  $\chi_v$  electrons increases until it matches the  $\chi_c$  Fermi surface. The  $\chi_c$  conduction-band and  $\chi_v$  valence-band electrons (dotted-dashed violet circle in the middle) then condense to form excitonic condensates, while the  $\bar{\chi}_c$  and  $\bar{\chi}_v$  electrons remain in the normal state. The spin repopulation necessary to achieve Fermi surface nesting leads to ferromagnetism, i.e., to spontaneous spin polarization.

particle band is doubly degenerate as expected.

When  $n_e \neq n_h$  the normal state Fermi surfaces of the conduction and valence bands have different Fermi wave vectors, frustrating interband coherence that is favored by bands with identical Fermi surfaces as we have explained. Fermi surface matching can be restored for one conduction-band–valence-band pair by splitting the spin-degenerate bands so that one conduction-band–valence-band pair forms a condensed state and the other pair remains in the normal state. This spin polarization, however, causes the kinetic energy to increase, which competes with the energy gained by condensation. We therefore expect ground states with spontaneous coherence and spontaneous spin polarization, provided that  $|n_e - n_h|$  is not too small or too large. We refer to these states as *exciton-condensate ferromagnets*. See Fig. 5 for a schematic illustration of this point.

Figure 6 shows typical quasiparticle excitation energy spectrum for a system with  $n_e \neq n_h$ ; these results are for  $\mu_0 = 25$  meV and  $\Delta n = 5.0 \times 10^{10}$  cm $^{-2}$ . Spontaneous splitting of the spin degeneracy indeed occurs. One pair of bands shows an energy gap due to the condensation and the other pair shows no such energy gap, which indicates that they have no interlayer coherence. Since the valence-band effective mass is larger than the conduction-band effective mass, the splitting in the valence band is much larger than that of the conduction band to minimize the kinetic energy cost of achieving nesting. The ground state energy and the energy bands are invariant under independent spin rotations in either layer.

In bulk samples, there is a small overlap between electron and hole wave functions, which is usually neglected (dominant term approximation). When this small overlap is not neglected, it gives rise to nonzero electron-hole exchange

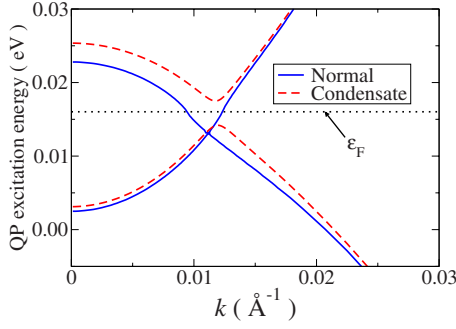


FIG. 6. (Color online) Quasiparticle excitation energy for a ferromagnetic condensate state with  $\mu_0=25$  meV and  $\Delta n=n_e-n_h=5.0 \times 10^{10}$  cm $^{-2}$ . The calculated densities are  $n_e=2.33 \times 10^{11}$  and  $n_h=1.83 \times 10^{11}$  cm $^{-2}$ .

interactions, which favor spin triplet electron-hole pair states,<sup>40</sup> and the system is invariant only under simultaneous spin rotations. The exciton-condensate ferromagnet then behaves like a conventional ferromagnet with negligible magnetic anisotropy. In bilayer systems, the overlap is exponentially small and we can therefore safely neglect electron-hole exchange for typical interlayer distances and barriers between the two layers. Since we neglect the small overlap the interaction conserves the band indices and the system has the spin-rotational symmetry for each band. This  $SU(2) \times SU(2)$  symmetry leads to degeneracy of spin singlet and triplet states. The terms in the Hamiltonian that break this continuous symmetry are extremely small compared to the bulk case. Thus we have a family of ground states that differ only in the direction of the spontaneous magnetization of each layer. This family of ground states has the same magnitude of magnetization for each layer, but total magnetization magnitudes vary widely. The intralayer interaction  $\tilde{h}_{ak}$  plays an important role here, helping to stabilize the ferromagnetic state since it favors spin-polarized states. Although the spin polarization within each layer is driven by interlayer interactions, these do not create a preference for the relative spin orientation of the two layers.

In numerical calculations the final converged spin-polarization direction depends on the initial guess we choose to begin the self-consistent loop, and different initial states lead to different spin-polarization directions. To verify that the pairing occurs only between one conduction band and one valence band leaving the other bands without coherence, we change the spin basis states from spin up and spin down to  $\chi_c$  and  $\bar{\chi}_c$  for the conduction bands and  $\chi_v$  and  $\bar{\chi}_v$  for the valence bands, which are along the spin polarizations of the conduction and valence bands, respectively (see Appendix A for details). As shown in Fig. 7, only  $\Delta^{\chi_c \chi_v}$  is nonzero and all the other order parameters are zero in the new basis, which verifies that only  $\chi_c$  and  $\chi_v$  spin bands are coherent. The quasiparticle bands [Eqs. (A8)–(A11)] and the ground state [Eq. (A21)] can be written in a compact form in this basis.

When the density difference is very small, the pair of bands with different Fermi surfaces can also form a condensate and the spin repopulation readjusts to minimize the total energy. In the other limit, if the density difference is very large, the kinetic energy cost accompanying the spin repopu-

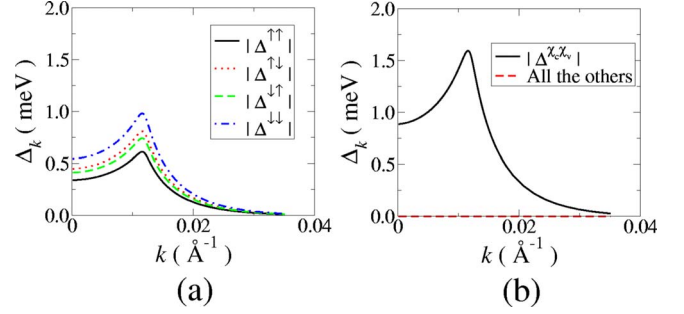


FIG. 7. (Color online) The magnitudes of  $\Delta_{\mathbf{k}}$  in different spin bases. (a) is in the spin up and down basis and (b) is in the new basis where the spin quantization direction is chosen to be parallel to the calculated total magnetization of each layer. In the new basis, the only nonvanishing  $\Delta$  is  $\Delta^{\chi_c \chi_v}$ . This calculation is for the same model parameters used in Fig. 6.

lation will be larger than the condensation energy gain. Then there will be no condensation at all and the system will remain in the normal paramagnetic state. The states discussed above, in which only one condensate occurs, are found in a broad intermediate regime. The states that manage this compromise between different energy contributions are found automatically by solving the mean-field equations. Within the current mean-field theory however, due to the overestimated tendency toward completely spin-polarized normal phase at low densities, we are limited to not so large density differences between electron and hole liquids.

#### IV. INFLUENCE OF RASHBA SPIN-ORBIT INTERACTIONS

In 2D layers, the Rashba SO interaction appears due to the structural inversion asymmetry of the confining potential. The strength of the SO coupling can be tuned by applying an external electric field perpendicular to the layers.<sup>29–32</sup> The Rashba Hamiltonian can be derived using Löwdin perturbation theory<sup>41,42</sup> up to the third order.<sup>43</sup> The effective Rashba SO interactions for the conduction band and for the heavy hole valence band are

$$\hat{H}_c^R = \alpha \mathbf{k} \times \hat{z} \cdot \vec{\tau} = \alpha \begin{pmatrix} 0 & ike^{-i\phi_{\mathbf{k}}} \\ -ike^{i\phi_{\mathbf{k}}} & 0 \end{pmatrix} = \mathbf{h}_{c\mathbf{k}}^R \cdot \boldsymbol{\tau} \quad (50)$$

and

$$\hat{H}_{hh}^R = i\beta(\tau_+ k_-^3 + \tau_- k_+^3) = \beta \begin{pmatrix} 0 & ik^3 e^{-i3\phi_{\mathbf{k}}} \\ -ik^3 e^{i3\phi_{\mathbf{k}}} & 0 \end{pmatrix} = \mathbf{h}_{v\mathbf{k}}^R \cdot \boldsymbol{\tau}, \quad (51)$$

respectively, where  $\boldsymbol{\tau}$ 's are the Pauli matrices,  $\tau_{\pm} = (\tau_x \pm i\tau_y)/2$ ,  $k_{\pm} = k_x \pm ik_y$ , and  $\tan \phi_{\mathbf{k}} = k_y/k_x$ . These SO interactions can be considered as momentum-dependent effective magnetic fields,

$$\mathbf{h}_{c\mathbf{k}}^R = \alpha(k_y \hat{\mathbf{x}} - k_x \hat{\mathbf{y}}), \quad (52)$$

$$\mathbf{h}_{v\mathbf{k}}^R = \beta k^3 (\sin 3\phi_{\mathbf{k}} \hat{\mathbf{x}} - \cos 3\phi_{\mathbf{k}} \hat{\mathbf{y}}), \quad (53)$$

which change direction in spin space as directions change in momentum space [see Figs. 8(a) and 9(a)]. For the conduc-

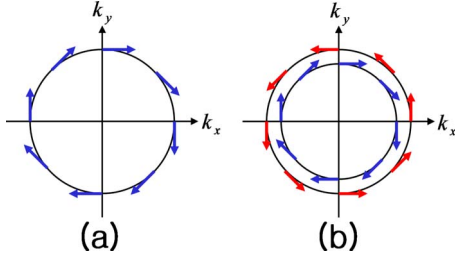


FIG. 8. (Color online) (a) Rashba SO effective magnetic field  $\mathbf{h}_{ck}^R$  and (b) the spin states for the conduction band. The spin direction is  $\phi_{\mathbf{k}} - \pi/2$  for  $|c\mathbf{k}+\rangle$  (inner circle and blue arrows) and  $\phi_{\mathbf{k}} + \pi/2$  for  $|c\mathbf{k}-\rangle$  (outer circle and red arrows).

tion band, the energy dispersion gets an additional linear term  $\varepsilon_{ck}^{(\pm)} = \varepsilon_{ck}^{(0)} \pm \alpha k$  and the corresponding spin states are

$$|c\mathbf{k}\pm\rangle = \frac{1}{\sqrt{2}}(|c\mathbf{k}\uparrow\rangle \mp ie^{i\phi_{\mathbf{k}}}|c\mathbf{k}\downarrow\rangle), \quad (54)$$

which are shown in Fig. 8(b). For the heavy hole valence band, we get an additional term proportional to  $k^3$ ,  $\varepsilon_{vk}^{(\pm)} = \varepsilon_{vk}^{(0)} \pm \beta k^3$ , and the corresponding spin states are

$$|v\mathbf{k}\pm\rangle = \frac{1}{\sqrt{2}}(|v\mathbf{k}\uparrow\rangle \mp ie^{3i\phi_{\mathbf{k}}}|v\mathbf{k}\downarrow\rangle), \quad (55)$$

which are shown in Fig. 9(b).

Unlike the case of the electron gas without Rashba SO interactions, the ground state of the free electron gas does not solve the Hartree-Fock equation of the system with Rashba SO interaction. The spin states for each  $\mathbf{k}$  are determined by the total effective magnetic field  $\mathbf{h}_{ak}^{\text{eff}}$  that is the sum of the intraband exchange field  $\tilde{\mathbf{h}}_{ak}$  and the Rashba SO field  $\mathbf{h}_{ak}^R$ . For the higher energy band with energy  $\varepsilon_{ak}^{(+)} = \varepsilon_{ak} + |\mathbf{h}_{ak}^{\text{eff}}|$ , the spin is parallel to the total effective magnetic field, while for the lower energy band with energy  $\varepsilon_{ak}^{(-)} = \varepsilon_{ak} - |\mathbf{h}_{ak}^{\text{eff}}|$ , the spin state is antiparallel to the total effective magnetic field. The self-consistency conditions for the intraband exchange field then lead to

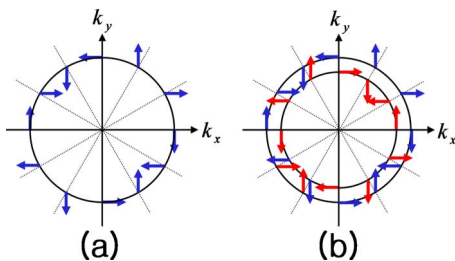


FIG. 9. (Color online) (a) Rashba SO effective magnetic field  $\mathbf{h}_{vk}^R$  and (b) the spin states for the heavy hole valence band. The spin direction is  $3\phi_{\mathbf{k}} - \pi/2$  for  $|v\mathbf{k}+\rangle$  (outer circle and blue arrows) and  $3\phi_{\mathbf{k}} + \pi/2$  for  $|v\mathbf{k}-\rangle$  (inner circle and red arrows).

$$\tilde{\mathbf{h}}_{ak} = -\frac{1}{2\Omega} \sum_{\mathbf{k}'} V(\mathbf{k} - \mathbf{k}') \frac{\mathbf{h}_{ak'}^{\text{eff}}}{|\mathbf{h}_{ak'}^{\text{eff}}|} (\tilde{\rho}_{++}^{aa} - \tilde{\rho}_{--}^{aa}), \quad (56)$$

where the spinor of the state  $|a\mathbf{k}\pm\rangle$  is parallel (+) or antiparallel (-) to the total effective field  $\mathbf{h}_{ak}^{\text{eff}}$ , not just the Rashba field. The total spin  $\mathbf{S}_a$  of band  $a$  is given by

$$\mathbf{S}_a = \frac{1}{2} \sum_{\mathbf{k}} \frac{\mathbf{h}_{ak}^{\text{eff}}}{|\mathbf{h}_{ak}^{\text{eff}}|} [\tilde{\rho}_{++}^{aa}(\mathbf{k}') - \tilde{\rho}_{--}^{aa}(\mathbf{k}')]. \quad (57)$$

In the normal state the effect of exchange interactions is simply to enhance the magnitude of the Rashba interaction induced spin splitting of the bands. For exciton-condensate ferromagnets, however, the Rashba and exchange fields are not, in general, parallel.

The Rashba SO Hamiltonian breaks spin-rotational invariance around an arbitrary axis but maintains invariance under simultaneous spin and orbital rotations around the  $z$  direction and under inversion  $z \rightarrow -z$  combined with inversion in the  $xy$  plane ( $\mathbf{k} \rightarrow -\mathbf{k}$ ). Thus we expect that the energy of the exciton-condensate ferromagnet is independent of the azimuthal angle  $\phi_a$  of the magnetization and invariant under reversal of the polar projection, i.e.,  $\theta_a \rightarrow \pi - \theta_a$ . When the in-plane components of the Rashba and exchange fields are not parallel, quasiparticle energies will depend on momentum space orientation. There will therefore tend to be some orientations in which the conduction- and valence-band Fermi energies are close and some orientations where they are more widely separated. Condensation then occurs mainly in the region in which the two Fermi surfaces are close together.

In the following we show that, depending on densities and on the strength of the Rashba SO interaction, the total spin of the ground state can be along the growth direction (uniaxial ferromagnet) or the total spin can have nonzero in-plane component. When the total spin is along the  $z$  direction, we calculate the anisotropic energy by applying an external magnetic field to force the total spin direction off the  $z$  axis and subtracting the magnetization energy. When the total spin has a nonzero in-plane component, the total spin of the ground state can have any azimuthal angle. The rotational symmetry around the  $z$  direction is spontaneously broken in this case and the quasiparticle energy spectrum is not rotationally symmetric in  $\mathbf{k}$  space. We show representative examples for each case below.

Experimental gate control should enable experimental control over the relative strength of the Rashba interactions in valence and conduction bands.<sup>30,43</sup> In order to reveal the basic physics more simply we consider systems in which the Rashba SO interaction acts only in one layer. Figure 10 shows our results when the total spin is along the  $z$  direction. The left panels in this figure are for a system with SO interaction only in the conduction band. The parameters are  $\alpha = 0.05 \text{ eV \AA}$ ,  $\beta = 0$ ,  $\mu_0 = 30 \text{ meV}$ , and  $\Delta n = n_e - n_h = 4.0 \times 10^{10} \text{ cm}^{-2}$ . The densities obtained self-consistently are  $n_e = 2.59 \times 10^{11} \text{ cm}^{-2}$  and  $n_h = 2.19 \times 10^{11} \text{ cm}^{-2}$ . The direction of the total spin of the conduction-band layer is  $\theta_c = 0.04\pi$  and  $\phi_c = 1.72\pi$ , which is almost along the  $z$  direction. In this uniaxial case, the quasiparticle spectrum is rotationally sym-



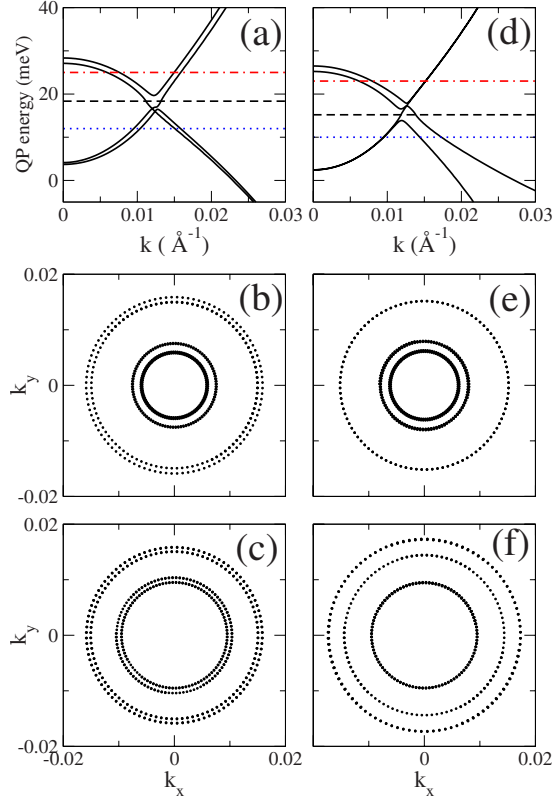


FIG. 10. (Color online) Exciton condensate ferromagnet with uniaxial magnetization with Rashba SO interaction in one of the layers. Left panels [(a)–(c)] are for  $\alpha=0.05$  eV Å and  $\beta=0$ . The direction of the total spin of the conduction-band layer is along the  $z$  direction. (a) shows the quasiparticle energy spectrum and the dashed black line is the chemical potential. (b) and (c) are constant-energy surfaces in momentum space, corresponding to the energies shown in (a). (b) is for 0.025 eV [red dotted-dashed line in (a)] and (c) is for 0.012 eV [blue-dotted line in (a)]. Right panels [(d)–(f)] are for  $\beta=500$  eV Å<sup>3</sup> and  $\alpha=0$ . The direction of the total spin of the valence-band layer is along the  $z$  direction. (d) shows the quasiparticle energy spectrum. (e) and (f) are constant-energy surfaces in momentum space. (e) is for 0.023 eV [red dotted-dashed line in (d)] and (f) is for 0.01 eV [blue dotted line in (d)].

metric in momentum space [Figs. 10(b) and 10(c)]. This circumstance is achieved in the self-consistent solution by converging to a state in which the intraband exchange field for the conduction band  $\tilde{\mathbf{h}}_{\mathbf{c}\mathbf{k}}$  has in-plane components that are parallel to the Rashba field  $\mathbf{h}_{\mathbf{c}\mathbf{k}}^R$ . The total effective field of the conduction band has the form

$$\mathbf{h}_{\mathbf{c}\mathbf{k}}^{\text{eff}} = h_{\perp}(k)(\sin \phi_{\mathbf{k}}\hat{\mathbf{x}} - \cos \phi_{\mathbf{k}}\hat{\mathbf{y}}) + h_z(k)\hat{\mathbf{z}}. \quad (58)$$

This form implies that the magnitude of the total effective magnetic field is independent of the momentum space orientation  $\phi_{\mathbf{k}}$ , explaining the isotropic Fermi surfaces. In these solutions, the in-plane component of the total spin [Eq. (57)] for the conduction-band layer vanishes and we get total magnetization  $\mathbf{S}_c$  only along the  $z$  direction. On the other hand, the absence of SO interaction in the valence band leads to arbitrary direction for the effective exchange field and therefore the total spin  $\mathbf{S}_v$  for the valence-band layer is in an

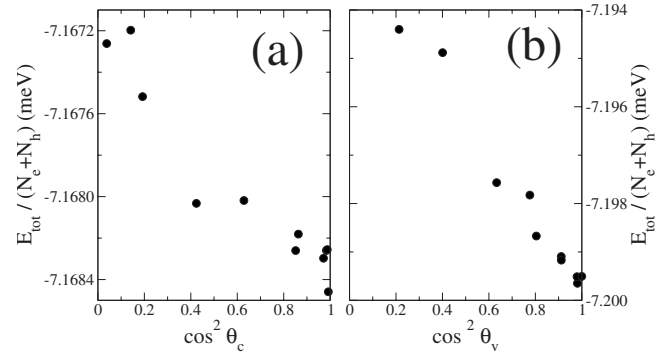


FIG. 11. Magnetic anisotropy of uniaxial systems.  $\mu_0 = 30$  meV and  $\Delta n = 5.0 \times 10^{10}$  cm<sup>-2</sup>. (a)  $\alpha=0.05$  eV Å,  $\beta=0$ ; (b)  $\alpha=0$ ,  $\beta=700$  eV Å<sup>3</sup>. With these parameters, the ground state magnetization is along the  $z$  direction. We apply small external magnetic field to change the direction of the magnetization and then subtract the magnetization energy to extract the energy of the system. The energy per particle shows a linear dependence on the  $\cos^2 \theta_a$ , where  $\theta_a$  is the angle between the  $z$  axis and the total spin for band  $a = c, v$ .

arbitrary direction. Similar considerations apply for  $\alpha=0$  and  $\beta \neq 0$  [right panels in Fig. 10], where we use  $\alpha=0$ ,  $\beta=500$  eV Å<sup>3</sup>,  $\mu_0=30$  meV, and  $\Delta n = n_e - n_h = -4.0 \times 10^{10}$  cm<sup>-2</sup>. The calculated densities are  $n_e = 2.24 \times 10^{11}$  cm<sup>-2</sup> and  $n_h = 2.64 \times 10^{11}$  cm<sup>-2</sup>. The direction of the total spin of the valence-band layer is  $\theta_v = 0.99\pi$  and  $\phi_v = 0.85\pi$ . The self-consistent solutions converge to states with concentric constant-energy surfaces and the total effective field is of the form

$$\mathbf{h}_{v\mathbf{k}}^{\text{eff}} = h_{\perp}(k)(\sin 3\phi_{\mathbf{k}}\hat{\mathbf{x}} - \cos 3\phi_{\mathbf{k}}\hat{\mathbf{y}}) + h_z(k)\hat{\mathbf{z}}. \quad (59)$$

Again, the in-plane component of the total spin [Eq. (57)] for the valence-band layer vanishes and we get total magnetization along the  $z$  direction. The direction of the total spin of the conduction-band layer is arbitrary due to the absence of SO interaction in the conduction band.

For these uniaxial cases, we can calculate the total energy of the system with magnetization directions off the  $z$  direction by introducing weak external magnetic field. Specifically, we apply an external magnetic field strong enough so that magnetization is off the  $z$  axis by  $\theta_a$  and then subtract the magnetization energy  $\mathbf{M} \cdot \mathbf{H}_{\text{ext}}$  from the total energy to calculate the anisotropic energy of this uniaxial ferromagnet. Figure 11 shows the numerically evaluated total energy for (a)  $\alpha \neq 0$ ,  $\beta=0$  and (b)  $\alpha=0$ ,  $\beta \neq 0$  as a function of  $\cos^2 \theta_a$ . It shows that the total energy of the uniaxial system is roughly proportional to  $\cos^2 \theta_a$ . The energy difference between the state with magnetization aligned to the  $z$  axis and the state with magnetization perpendicular to the  $z$  axis is a few  $\mu\text{eV}$ . Because the magnetic anisotropy energy is very small, Fig. 11 looks somewhat noisy. We can also derive this linear behavior by treating the Rashba SO interaction as a perturbation (see Appendix B for details). The zeroth-order ground state is given by Eq. (A21). We calculate the

perturbed energy for each quasiparticle state using states (A8)–(A11) as the unperturbed quasiparticle states. The total perturbed energy is evaluated by summing the corrections for each quasiparticle state up to the Fermi energy of the unperturbed ground state, assuming that the Fermi energy does not change much by the Rashba SO interaction. The first-order correction vanishes. At second order we obtain

$$E_{\text{tot}} = E_{\text{tot}}^{(0)} + \delta E + A\alpha^2 \cos^2 \theta_c + B\beta^2 \cos^2 \theta_v, \quad (60)$$

where  $\delta E$  is the energy correction that does not depend on the magnetization angles and  $A, B$  are constants.

Even though a perturbative calculation indicates that the ferromagnet should have either an easy axis or an easy plane, our nonperturbative self-consistent calculations sometimes find ground state with neither an easy axis nor easy plane. Instead, in some cases the ferromagnet can have a nontrivial optimal polar angle and an arbitrary azimuthal angle. Evidently higher order contributions can change the ground state qualitatively. For a given spontaneously chosen azimuthal angle the quasiparticle band structure is anisotropic. This broken XY symmetry leads to intricate and quite interesting quasiparticle properties. The left panels of Fig. 12 show a case with  $\alpha \neq 0$  and  $\beta = 0$ , where the total spin of the conduction band has a nonzero in-plane component. The parameters used for this system are  $\alpha = 0.03 \text{ eV \AA}$ ,  $\beta = 0$ ,  $\mu_0 = 30 \text{ meV}$ , and  $\Delta n = n_e - n_h = 4.0 \times 10^{10} \text{ cm}^{-2}$ . The calculated densities are  $n_e = 2.59 \times 10^{11} \text{ cm}^{-2}$  and  $n_h = 2.19 \times 10^{11} \text{ cm}^{-2}$ . The direction of the total spin of the conduction-band layer is  $\theta_c = 0.45\pi$  and  $\phi_c = 1.72\pi$ . As can be seen in Figs. 12(b) and 12(c), the conduction-band quasiparticle excitation energy dispersions are not rotationally symmetric. The constant-energy surfaces for the conduction band shift so that the two bands are closer in one direction and farther apart in the opposite direction in  $\mathbf{k}$  space. This corresponds to an intraband field that points in the same direction as the Rashba field, but the magnitude of the intraband field is a function of not only of  $k$  but also of  $\phi_{\mathbf{k}}$ . To get nonzero in-plane components of the total spin along the  $\phi_c$  direction, the total effective magnetic field is stronger when the azimuthal angle of the spin states of the majority species ( $|c\mathbf{k}-\rangle$  for the conduction band) is  $\phi_c$  and weaker when the azimuthal angle of the spin states of the minority species ( $|c\mathbf{k}+\rangle$  for the conduction band) is  $\phi_c$ . The constant-energy surfaces are farther in the direction where the effective field is stronger and closer where the effective field is weaker since the energy difference between the two spin bands is the magnitude of the effective magnetic field. Therefore, we obtain the condition for the closer (farther) constant-energy surfaces,

$$\begin{aligned} \phi_c &= \phi_{\mathbf{k}} - \frac{\pi}{2} \quad \text{for closer,} \\ \phi_c &= \phi_{\mathbf{k}} + \frac{\pi}{2} \quad \text{for farther.} \end{aligned} \quad (61)$$

The blue dashed arrows in Figs. 12(b) and 12(c) show the  $\phi_{\mathbf{k}}$

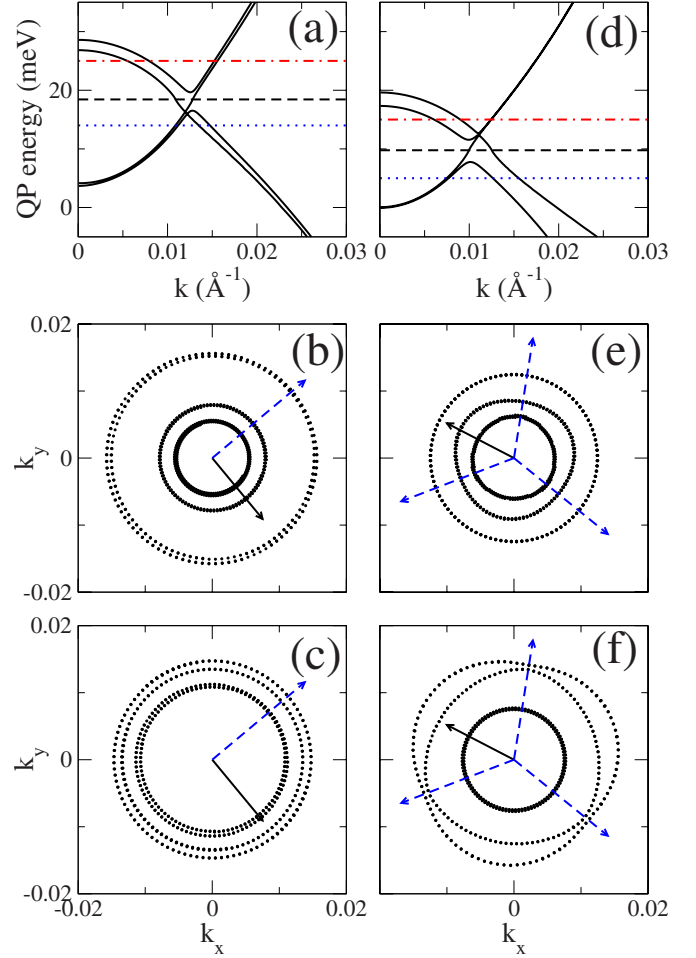


FIG. 12. (Color online) Exciton condensate ferromagnet with nonzero in-plane component of the magnetization with Rashba SO interaction in one of the layers. Left panels [(a)–(c)] are for  $\alpha = 0.03 \text{ eV \AA}$  and  $\beta = 0$ , where the direction of the total spin of the conduction-band layer is  $\theta_c = 0.45\pi$  and  $\phi_c = 1.72\pi$ . (a) shows the quasiparticle energy spectrum and the dashed black line is the chemical potential. (b) and (c) are constant-energy surfaces in momentum space, corresponding to the energies shown in (a). (b) is for  $0.025 \text{ eV}$  [red dotted-dashed line in (a)] and (c) is for  $0.014 \text{ eV}$  [blue dotted line in (a)]. The black solid arrow depicts the direction of the total spin in the conduction layer and the blue dashed arrow depicts the direction of  $\phi_{\mathbf{k}} = \phi_c + \pi/2$ . Right panels [(d)–(f)] are for  $\beta = 400 \text{ eV \AA}^3$  and  $\alpha = 0$ , where the direction of the total spin of the valence-band layer is  $\theta_v = 0.63\pi$  and  $\phi_v = 0.85\pi$ . (e) and (f) are constant-energy surfaces in momentum space. (e) is for  $0.015 \text{ eV}$  [red dotted-dashed line in (d)] and (f) is for  $0.005 \text{ eV}$  [blue dotted line in (d)]. The black solid arrow depicts the direction of the total spin in the valence-band layer and the blue dashed arrows depict the direction  $\phi_{\mathbf{k}} = \phi_v/3 + \pi/6 + 2n\pi/3$  for integer  $n$ .

that satisfy the closer condition above, which agrees with the numerically calculated constant-energy surfaces.

The right-hand panels of Fig. 12 show a case with  $\alpha = 0$  and  $\beta \neq 0$  for which the valence-band magnetization has a nonzero in-plane component. The parameters used for this calculation were  $\beta = 400 \text{ eV \AA}^3$ ,  $\alpha = 0$ ,  $\mu_0 = 20 \text{ meV}$ , and  $\Delta n = n_e - n_h = -4.0 \times 10^{10} \text{ cm}^{-2}$ . The calculated densities are  $n_e = 1.59 \times 10^{11} \text{ cm}^{-2}$  and  $n_h = 1.99 \times 10^{11} \text{ cm}^{-2}$ . The di-

rection of the total spin of the valence-band layer is  $\theta_v = 0.63\pi$  and  $\phi_v = 0.85\pi$ . For the valence band, the majority species has spin state  $|\nu\mathbf{k}-\rangle$  and minority species has  $|\nu\mathbf{k}+\rangle$  because the valence band has opposite energy dispersion curve compared to the conduction band. So the majority band has spin states that point to  $3\phi_k + \pi/2$  and the minority band has spin states that point to  $3\phi_k - \pi/2$ . Thus there are three closer directions and three farther directions that satisfy

$$\begin{aligned}\phi_v + 2n\pi &= 3\phi_k - \frac{\pi}{2} \quad \text{for closer,} \\ \phi_v + 2n\pi &= 3\phi_k + \frac{\pi}{2} \quad \text{for farther.}\end{aligned}\quad (62)$$

Figures 12(e) and 12(f) show the directions of the closer condition by blue dashed arrows, which again agree with the numerical results very well. These systems spontaneously break the rotational symmetry around the  $z$  direction to make the Fermi surfaces of one conduction band and one valence band come close together so that they can form the excitonic condensate while the other two bands remain normal.

## V. SUMMARY AND DISCUSSION

We have studied electron-hole pair condensation in spatially separated bilayer systems. Our work is motivated by recent progress<sup>15,16,23,24</sup> in the preparation of electron-hole bilayers with carriers that are generated electrically rather than optically and in equilibrium rather than in a steady state. These systems already show behavior, in particular, enhanced drag voltages at low temperatures, which appears to be evidence for a non-Fermi liquid ground state driven by attractive interactions between conduction-band electrons and valence-band holes. The extremely large drag voltages expected<sup>44</sup> in the two-dimensional superfluid state have not yet been seen, suggesting that the Kosterlitz-Thouless temperatures of current samples are still below available temperatures. More robust experimental consequences can be anticipated if systems can be fabricated in which electron-hole interactions have been strengthened by reducing the quantum well widths and hence the average distance between layers.

The most unique and attractive aspects of equilibrium electrically generated electron-hole systems are (i) the opportunity to directly probe how transport properties are altered<sup>45</sup> by excitonic superfluidity and (ii) the opportunity to control the densities by tuning the chemical potentials of each layer and continuously adjust the relative density of electrons and holes. The study of pairing fermion systems with unbalanced populations<sup>46</sup> has been a major topic in cold-atom physics. In this paper we have explored some of the peculiarities expected to be associated with unbalanced populations in the case of electron-hole bilayers. The main difference between cold-atom systems and the electron-hole bilayers is that single-particle states have an additional attached spin label in each layer. This additional degree of freedom is expected to qualitatively change the population polarization physics. The population polarization and the subsequent Fermi surface

mismatch induce spontaneous spin polarization that improves nesting between some Fermi surface pieces and therefore increases the pairing condensation energy. When spin-orbit interactions are neglected, the spin-polarized state is invariant under independent spin-rotation conduction-band-valence-band layers. In this paper we have examined how Rashba SO interactions, which will inevitably be present in any equilibrium quantum well bilayer system, alter these ferromagnetic condensate states. The Rashba SO interaction arises naturally because of the electric field used to transfer electrons between layers. The interaction introduces a structural inversion asymmetry, which breaks the spin-rotational symmetry and leads to a preferred polar angle of the magnetization of each layer. When an in-plane component of the magnetization is present it implies anisotropic energy spectra and interaction driven spatial anisotropy in the two-dimensional plane, which should be readily detected experimentally. Because the anisotropy energy is very small compared to other energy scales and also because of small crystalline anisotropy effects that are not included in our calculation and appear when the spin-orbit interaction is expanded to higher order in two-dimensional momentum, we have not been able to establish patterns in the relationship between magnetization direction and the experimentally controllable system parameters such as the density, the density difference between electrons and holes, and the strength of the Rashba SO interaction.

The ferromagnetic condensate phase discussed in this paper will compete with Fulde-Ferrell-Larkin-Ovchinnikov (FFLO)-type phase<sup>47</sup> with nonzero momentum pairing, which is also expected in population polarized systems. While we expect that ferromagnetic phase would prevail at low densities (deep inside the condensate phase in a phase diagram), the possibility of FFLO state requires more comprehensive study at higher densities where the system is closer to the boundary between the normal and condensate phases.

## ACKNOWLEDGMENTS

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## APPENDIX A: NEW SPIN BASIS FOR EXCITONIC CONDENSATE FERROMAGNETS

For excitonic condensate ferromagnets in the absence of SO interaction, described in Sec. III, it is convenient to work with spin basis states that are parallel to the total spin of each layer. Let the spin orientation for the conduction (valence) band have polar angle  $\theta_c(\theta_v)$  and azimuthal angle  $\phi_c(\phi_v)$ . Then the unitary operator  $U_a^\dagger$  that connects the two spin basis sets is

$$\begin{pmatrix} c_{a\uparrow} \\ c_{a\downarrow} \end{pmatrix} = U_a^\dagger \begin{pmatrix} c_{a\chi_a} \\ c_{a\bar{\chi}_a} \end{pmatrix}, \quad (A1)$$

where

$$U_a^\dagger = \begin{pmatrix} \cos \frac{\theta_a}{2} & \sin \frac{\theta_a}{2} e^{-i\phi_a} \\ \sin \frac{\theta_a}{2} & -\cos \frac{\theta_a}{2} e^{-i\phi_a} \end{pmatrix} \quad (\text{A2})$$

for  $a=c, v$ . The order parameters in the new basis are

$$\begin{aligned} \Delta_{\mathbf{k}}^{\alpha_c \alpha_v} &= \frac{1}{\Omega} \sum_{\mathbf{k}'} V^{cv}(\mathbf{k} - \mathbf{k}') \langle c_{v\alpha_v \mathbf{k}'}^\dagger c_{c\alpha_c \mathbf{k}'} \rangle = \frac{1}{\Omega} \sum_{\mathbf{k}'} V^{cv}(\mathbf{k} - \mathbf{k}') \\ &\quad \times (U_v^\dagger)_{\alpha_v \sigma_v}^* (U_c^\dagger)_{\alpha_c \sigma_c} \langle c_{v\sigma_v \mathbf{k}'}^\dagger c_{c\sigma_c \mathbf{k}'} \rangle \\ &= (U_c^\dagger)_{\alpha_c \sigma_c} \Delta_{\mathbf{k}}^{\sigma_c \sigma_v} (U_v)_{\sigma_v \alpha_v}, \end{aligned} \quad (\text{A3})$$

where  $\alpha_a$  is  $\chi_a$  or  $\bar{\chi}_a$  and  $\sigma_a$  is spin up or down. Hence we obtain

$$\begin{pmatrix} \Delta_{\mathbf{k}}^{\chi_c \chi_v} & \Delta_{\mathbf{k}}^{\chi_c \bar{\chi}_v} \\ \Delta_{\mathbf{k}}^{\bar{\chi}_c \chi_v} & \Delta_{\mathbf{k}}^{\bar{\chi}_c \bar{\chi}_v} \end{pmatrix} = U_c^\dagger \begin{pmatrix} \Delta_{\mathbf{k}}^{\uparrow \uparrow} & \Delta_{\mathbf{k}}^{\uparrow \downarrow} \\ \Delta_{\mathbf{k}}^{\downarrow \uparrow} & \Delta_{\mathbf{k}}^{\downarrow \downarrow} \end{pmatrix} U_v. \quad (\text{A4})$$

In this new spin basis, the coexistence of the condensate and normal fluid can be easily verified (see Fig. 7).

The Hamiltonian can now be separated into a normal part and a condensed part,

$$\hat{H}_{MF} = \hat{H}_N + \hat{H}_C, \quad (\text{A5})$$

where

$$\hat{H}_N = \sum_{\mathbf{k}} \begin{pmatrix} c_{c\bar{\chi}_c \mathbf{k}}^\dagger & c_{v\bar{\chi}_v \mathbf{k}}^\dagger \end{pmatrix} \begin{pmatrix} \varepsilon_{c\mathbf{k}} - \tilde{h}_{c\mathbf{k}}^{\chi_c} & 0 \\ 0 & \varepsilon_{v\mathbf{k}} - \tilde{h}_{v\mathbf{k}}^{\chi_v} \end{pmatrix} \begin{pmatrix} c_{c\bar{\chi}_c \mathbf{k}} \\ c_{v\bar{\chi}_v \mathbf{k}} \end{pmatrix}, \quad (\text{A6})$$

$$\hat{H}_C = \sum_{\mathbf{k}} \begin{pmatrix} c_{c\chi_c \mathbf{k}}^\dagger & c_{v\chi_v \mathbf{k}}^\dagger \end{pmatrix} \begin{pmatrix} \varepsilon_{c\mathbf{k}} + \tilde{h}_{c\mathbf{k}}^{\chi_c} & -\Delta_{\mathbf{k}} \\ -\Delta_{\mathbf{k}}^* & \varepsilon_{v\mathbf{k}} + \tilde{h}_{v\mathbf{k}}^{\chi_v} \end{pmatrix} \begin{pmatrix} c_{c\chi_c \mathbf{k}} \\ c_{v\chi_v \mathbf{k}} \end{pmatrix}, \quad (\text{A7})$$

and  $\tilde{h}_{a\mathbf{k}}^{\chi_a}$  is the  $\chi_a$ -parallel component of the intralayer exchange field in the new spin basis, which is the only nonvanishing component of the exchange field. The condensate part can be diagonalized using the Bogoliubov transformation as in the two-band model studied in Sec. II, which leads to the eigenstates

$$|1\mathbf{k}\rangle = |c\bar{\chi}_c \mathbf{k}\rangle, \quad (\text{A8})$$

$$|2\mathbf{k}\rangle = |v\bar{\chi}_v \mathbf{k}\rangle, \quad (\text{A9})$$

$$|3\mathbf{k}\rangle = u_{\mathbf{k}}^* |c\chi_c \mathbf{k}\rangle - v_{\mathbf{k}}^* |v\chi_v \mathbf{k}\rangle, \quad (\text{A10})$$

$$|4\mathbf{k}\rangle = v_{\mathbf{k}} |c\chi_c \mathbf{k}\rangle + u_{\mathbf{k}} |v\chi_v \mathbf{k}\rangle, \quad (\text{A11})$$

with eigenvalues

$$\varepsilon_{1\mathbf{k}} = \varepsilon_{c\mathbf{k}} - \tilde{h}_{c\mathbf{k}}^{\chi_c}, \quad (\text{A12})$$

$$\varepsilon_{2\mathbf{k}} = \varepsilon_{v\mathbf{k}} - \tilde{h}_{v\mathbf{k}}^{\chi_v}, \quad (\text{A13})$$

$$\varepsilon_{3\mathbf{k}} = E_{\mathbf{k}}^{(1)}, \quad (\text{A14})$$

$$\varepsilon_{4\mathbf{k}} = E_{\mathbf{k}}^{(2)}, \quad (\text{A15})$$

where

$$E_{\mathbf{k}}^{(1,2)} = \frac{1}{2} (\varepsilon_{c\mathbf{k}} + \varepsilon_{v\mathbf{k}} + \tilde{h}_{c\mathbf{k}}^{\chi_c} + \tilde{h}_{v\mathbf{k}}^{\chi_v}) \pm \sqrt{\varepsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}, \quad (\text{A16})$$

$$\varepsilon_{\mathbf{k}} = \frac{1}{2} (\varepsilon_{c\mathbf{k}} - \varepsilon_{v\mathbf{k}} + \tilde{h}_{c\mathbf{k}}^{\chi_c} - \tilde{h}_{v\mathbf{k}}^{\chi_v}), \quad (\text{A17})$$

and

$$|u_{\mathbf{k}}|^2 = \frac{1}{2} \left( 1 + \frac{\varepsilon_{\mathbf{k}}}{\sqrt{\varepsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}} \right), \quad (\text{A18})$$

$$|v_{\mathbf{k}}|^2 = \frac{1}{2} \left( 1 - \frac{\varepsilon_{\mathbf{k}}}{\sqrt{\varepsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}} \right), \quad (\text{A19})$$

$$u_{\mathbf{k}}^* v_{\mathbf{k}} = \frac{\Delta_{\mathbf{k}}}{2\sqrt{\varepsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}}. \quad (\text{A20})$$

The ground state  $|\Psi\rangle$  is given by

$$|\Psi\rangle = \prod_{k < k_{Fc}} c_{1\mathbf{k}}^\dagger \prod_{k > k_{Fv}} c_{2\mathbf{k}}^\dagger \prod_{\mathbf{k}} c_{4\mathbf{k}}^\dagger |0\rangle, \quad (\text{A21})$$

where  $|0\rangle$  is the vacuum state with no electrons and  $k_{Fc}$  ( $k_{Fv}$ ) is the Fermi wave vector for the normal conduction (valence) band.

## APPENDIX B: PERTURBATION THEORY FOR EXCITON CONDENSATE FERROMANGET WITH RASHBA SO INTERACTION

The mean-field Hamiltonian of the ferromagnetic excitonic condensate of electron-hole bilayer system without SO interaction is given by

$$\hat{H}_{MF} = \hat{H}_N + \hat{H}_C, \quad (\text{B1})$$

where  $\hat{H}_N$  is the Hamiltonian for the normal components [Eq. (A6)] and  $\hat{H}_C$  is for the condensate [Eq. (A7)]. For each  $\mathbf{k}$ , we have four quasiparticle eigenstates given by Eqs. (A8)–(A11), with eigenvalues (A12)–(A15). These quasiparticle eigenstates are related to the conduction- and valence-band states by

$$\begin{pmatrix} c_{c\uparrow\mathbf{k}} \\ c_{c\downarrow\mathbf{k}} \\ c_{v\uparrow\mathbf{k}} \\ c_{v\downarrow\mathbf{k}} \end{pmatrix} = \begin{pmatrix} \sin\frac{\theta_c}{2} & 0 & u_{\mathbf{k}}^* \cos\frac{\theta_c}{2} & v_{\mathbf{k}} \cos\frac{\theta_c}{2} \\ -\cos\frac{\theta_c}{2} e^{i\phi_c} & 0 & u_{\mathbf{k}}^* \sin\frac{\theta_c}{2} e^{i\phi_c} & v_{\mathbf{k}} \sin\frac{\theta_c}{2} e^{i\phi_c} \\ 0 & \sin\frac{\theta_v}{2} & v_{\mathbf{k}}^* \cos\frac{\theta_v}{2} & u_{\mathbf{k}} \cos\frac{\theta_v}{2} \\ 0 & -\cos\frac{\theta_v}{2} e^{i\phi_v} & -v_{\mathbf{k}}^* \sin\frac{\theta_v}{2} e^{i\phi_v} & u_{\mathbf{k}} \sin\frac{\theta_v}{2} e^{i\phi_v} \end{pmatrix} \begin{pmatrix} c_{1\mathbf{k}} \\ c_{2\mathbf{k}} \\ c_{3\mathbf{k}} \\ c_{4\mathbf{k}} \end{pmatrix} \quad (\text{B2})$$

$$\equiv \mathbf{U} \begin{pmatrix} c_{1\mathbf{k}} \\ c_{2\mathbf{k}} \\ c_{3\mathbf{k}} \\ c_{4\mathbf{k}} \end{pmatrix}. \quad (\text{B3})$$

The ground state of this system is given by Eq. (A21). We will consider this state as our unperturbed state and treat the Rashba SO interaction as a perturbation.

In the basis of  $\{|c\uparrow\mathbf{k}\rangle, |c\downarrow\mathbf{k}\rangle, |v\uparrow\mathbf{k}\rangle, |v\downarrow\mathbf{k}\rangle\}$  Rashba SO Hamiltonian is given by

$$\mathbf{H}_R = \begin{pmatrix} 0 & i\alpha k e^{-i\phi_{\mathbf{k}}} & 0 & 0 \\ -i\alpha k e^{i\phi_{\mathbf{k}}} & 0 & 0 & 0 \\ 0 & 0 & 0 & i\beta k^3 e^{-3i\phi_{\mathbf{k}}} \\ 0 & 0 & -i\beta k^3 e^{3i\phi_{\mathbf{k}}} & 0 \end{pmatrix}, \quad (\text{B4})$$

and, in new basis  $\{|1\mathbf{k}\rangle, |2\mathbf{k}\rangle, |3\mathbf{k}\rangle, |4\mathbf{k}\rangle\}$ , by

$$\mathbf{H}'_{\mathbf{R}} = \mathbf{U}^\dagger \mathbf{H}_R \mathbf{U}. \quad (\text{B5})$$

Applying perturbation theory for each  $\mathbf{k}$ , first-order terms for the quasiparticle energies are

$$\varepsilon_{1\mathbf{k}}^{(1)} = (\mathbf{H}'_{\mathbf{R}})_{11} = \alpha k \sin \theta_c \sin(\phi_c - \phi_{\mathbf{k}}), \quad (\text{B6})$$

$$\varepsilon_{2\mathbf{k}}^{(1)} = (\mathbf{H}'_{\mathbf{R}})_{22} = \beta k^3 \sin \theta_v \sin(\phi_v - 3\phi_{\mathbf{k}}), \quad (\text{B7})$$

$$\varepsilon_{3\mathbf{k}}^{(1)} = (\mathbf{H}'_{\mathbf{R}})_{33} = -\alpha k |u_{\mathbf{k}}|^2 \sin \theta_c \sin(\phi_c - \phi_{\mathbf{k}}) - \beta k^3 |v_{\mathbf{k}}|^2 \sin \theta_v \sin(\phi_v - 3\phi_{\mathbf{k}}), \quad (\text{B8})$$

$$\varepsilon_{4\mathbf{k}}^{(1)} = (\mathbf{H}'_{\mathbf{R}})_{44} = -\alpha k |v_{\mathbf{k}}|^2 \sin \theta_c \sin(\phi_c - \phi_{\mathbf{k}}) - \beta k^3 |u_{\mathbf{k}}|^2 \sin \theta_v \sin(\phi_v - 3\phi_{\mathbf{k}}), \quad (\text{B9})$$

and the change in the total energy is

$$\delta E_{\text{tot}}^{(1)} = \sum_{i=1}^4 \sum_{\mathbf{k}} \Theta(\varepsilon_F - \varepsilon_{i\mathbf{k}}^{(0)}) \varepsilon_{i\mathbf{k}}^{(1)} = \sum_{i=1}^4 \frac{\Omega}{(2\pi)^2} \int_0^\infty dk k \theta(\varepsilon_F - \varepsilon_{i\mathbf{k}}^{(0)}) \int_0^{2\pi} d\phi_{\mathbf{k}} \varepsilon_{i\mathbf{k}}^{(1)} = 0, \quad (\text{B10})$$

where the integration over  $\phi_{\mathbf{k}}$  vanishes for all  $\varepsilon_{i\mathbf{k}}^{(1)}$ . So there is no contribution from the first-order terms. Second-order energy corrections for each  $\mathbf{k}$  are calculated by

$$\varepsilon_{i\mathbf{k}}^{(2)} = \sum_{j \neq i} \frac{|\mathbf{H}'_{\mathbf{R}}|_{ij}|^2}{\varepsilon_i^{(0)} - \varepsilon_j^{(0)}}. \quad (\text{B11})$$

After some algebra, we get

$$\varepsilon_{1\mathbf{k}}^{(2)} = \alpha^2 k^2 \left( \frac{|u_{\mathbf{k}}|^2}{\varepsilon_{31}} + \frac{|v_{\mathbf{k}}|^2}{\varepsilon_{41}} \right) [\cos^2(\phi_c - \phi_{\mathbf{k}}) + \cos^2 \theta_c \sin^2(\phi_c - \phi_{\mathbf{k}})], \quad (\text{B12})$$

$$\varepsilon_{2\mathbf{k}}^{(2)} = \beta^2 k^6 \left( \frac{|v_{\mathbf{k}}|^2}{\varepsilon_{32}} + \frac{|u_{\mathbf{k}}|^2}{\varepsilon_{42}} \right) [\cos^2(\phi_v - 3\phi_{\mathbf{k}}) + \cos^2 \theta_v \sin^2(\phi_v - 3\phi_{\mathbf{k}})], \quad (\text{B13})$$

$$\begin{aligned} \varepsilon_{3\mathbf{k}}^{(2)} &= \frac{\alpha^2 k^2 |u_{\mathbf{k}}|^2}{\varepsilon_{13}} [\cos^2(\phi_c - \phi_{\mathbf{k}}) + \cos^2 \theta_c \sin^2(\phi_c - \phi_{\mathbf{k}})] + \frac{\beta^2 k^6 |v_{\mathbf{k}}|^2}{\varepsilon_{23}} [\cos^2(\phi_v - 3\phi_{\mathbf{k}}) + \cos^2 \theta_v \sin^2(\phi_v - 3\phi_{\mathbf{k}})] \\ &+ \frac{|u_{\mathbf{k}} v_{\mathbf{k}}|^2}{\varepsilon_{43}} [\alpha^2 k^2 \sin^2 \theta_c \sin^2(\phi_c - \phi_{\mathbf{k}}) + \beta^2 k^6 \sin^2 \theta_v \sin^2(\phi_v - 3\phi_{\mathbf{k}}) - 2\alpha\beta k^4 \sin \theta_c \sin \theta_v \sin(\phi_c - \phi_{\mathbf{k}}) \sin(\phi_v - 3\phi_{\mathbf{k}})], \end{aligned} \quad (\text{B14})$$

$$\begin{aligned} \varepsilon_{4\mathbf{k}}^{(2)} = & \frac{\alpha^2 k^2 |v_{\mathbf{k}}|^2}{\varepsilon_{14}} [\cos^2(\phi_c - \phi_{\mathbf{k}}) + \cos^2 \theta_c \sin^2(\phi_c - \phi_{\mathbf{k}})] + \frac{\beta^2 k^6 |v_{\mathbf{k}}|^2}{\varepsilon_{24}} [\cos^2(\phi_v - 3\phi_{\mathbf{k}}) + \cos^2 \theta_v \sin^2(\phi_v - 3\phi_{\mathbf{k}})] \\ & + \frac{|u_{\mathbf{k}} v_{\mathbf{k}}|^2}{\varepsilon_{34}} [\alpha^2 k^2 \sin^2 \theta_c \sin^2(\phi_c - \phi_{\mathbf{k}}) + \beta^2 k^6 \sin^2 \theta_v \sin^2(\phi_v - 3\phi_{\mathbf{k}}) - 2\alpha\beta k^4 \sin \theta_c \sin \theta_v \sin(\phi_c - \phi_{\mathbf{k}}) \sin(\phi_v - 3\phi_{\mathbf{k}})], \end{aligned} \quad (\text{B15})$$

where  $\varepsilon_{ij} = \varepsilon_j^{(0)} - \varepsilon_i^{(0)}$ . Using

$$\int_0^{2\pi} d\phi_{\mathbf{k}} \sin^2(\phi_c - \phi_{\mathbf{k}}) = \int_0^{2\pi} d\phi_{\mathbf{k}} \cos^2(\phi_c - \phi_{\mathbf{k}}) = \pi, \quad (\text{B16})$$

$$\int_0^{2\pi} d\phi_{\mathbf{k}} \sin^2(\phi_v - 3\phi_{\mathbf{k}}) = \int_0^{2\pi} d\phi_{\mathbf{k}} \cos^2(\phi_v - 3\phi_{\mathbf{k}}) = \pi, \quad (\text{B17})$$

$$\int_0^{2\pi} d\phi_{\mathbf{k}} \sin(\phi_c - \phi_{\mathbf{k}}) \sin(\phi_v - 3\phi_{\mathbf{k}}) = 0, \quad (\text{B18})$$

we can find second-order energy correction

$$\begin{aligned} \delta E_{\text{tot}}^{(2)} = & \sum_{i=1}^4 \sum_{\mathbf{k}} \Theta(\varepsilon_F - \varepsilon_{i\mathbf{k}}^{(0)}) \varepsilon_{i\mathbf{k}}^{(2)} = \sum_{i=1}^4 \frac{\Omega}{(2\pi)^2} \int_0^\infty dk k \theta(\varepsilon_F - \varepsilon_{i\mathbf{k}}^{(0)}) \int_0^{2\pi} d\phi_{\mathbf{k}} \varepsilon_{i\mathbf{k}}^{(2)} = \frac{\Omega}{4\pi} \int_0^{k_{fc}} dk \alpha^2 k^3 \left( \frac{|u_{\mathbf{k}}|^2}{\varepsilon_{31}} + \frac{|v_{\mathbf{k}}|^2}{\varepsilon_{41}} \right) (1 + \cos^2 \theta_c) \\ & + \frac{\Omega}{4\pi} \int_{k_{fv}}^\infty dk \beta^2 k^7 \left( \frac{|v_{\mathbf{k}}|^2}{\varepsilon_{32}} + \frac{|u_{\mathbf{k}}|^2}{\varepsilon_{42}} \right) (1 + \cos^2 \theta_v) + \frac{\Omega}{4\pi} \int_0^\infty dk \left[ \frac{\alpha^2 k^3 |v_{\mathbf{k}}|^2}{\varepsilon_{14}} (1 + \cos^2 \theta_c) + \frac{\beta^2 k^7 |u_{\mathbf{k}}|^2}{\varepsilon_{24}} (1 + \cos^2 \theta_v) \right. \\ & \left. + \frac{\alpha^2 k^3 |u_{\mathbf{k}} v_{\mathbf{k}}|^2}{\varepsilon_{34}} (1 - \cos^2 \theta_c) + \frac{\beta^2 k^7 |u_{\mathbf{k}} v_{\mathbf{k}}|^2}{\varepsilon_{34}} (1 - \cos^2 \theta_v) \right] = \delta E^{(2)} + A \alpha^2 \cos^2 \theta_c + B \beta^2 \cos^2 \theta_v. \end{aligned} \quad (\text{B19})$$

Spin-rotational symmetry is now broken and depending on the sign of constants  $A$  and  $B$ . The total spin can have either easy plane or easy axis.

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